TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 14412				
In Re Application Of:							
WILLEY, William Daniel et al.							
Serial No. Filing Date 08/06/2003	Examiner Not Yet Assigned		Group Art Unit 2681				
Title: DEC 1 6 2003 🕹							
MOBILE CERTIFICATE DISTRIBUTION IN A PKI							
TRADEMIN							
Commiss P.C	ddress to: sioner for Patents). Box 1450						
	a, VA 22313-1450						
37 C 1. The Information Disclosure Statement submitted	FR 1.97(b)						
of a national application other than a continued three months of the date of entry of the national application; before the mailing of a first Office Ac Action after the filing of a request for continued e	stage as set forth in 37 CFF tion on the merits, or before	R 1.491 in an the mailing of	international				
2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:							
	•						
OR	:	*					
the fee set forth in 37 CFR 1.17(p).							
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Substitute for form 1449A/PTO

Sheet

DEC 1 6 2003

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

1 of

Complete if Known			
Application Number	10/634,881		
Filing Date	08-06-2003		
First Named Inventor	WILLEY, William Daniel		
Art Unit	2681		
Examiner Name	Not Yet Assigned		
Attorney Docket Number	14412.		

U.S. PATENT DOCUMENTS Pages, Columns, Lines, Where Document Number Cite **Publication Date** Name of Patentee or Relevant Passages or Relevant amine Applicant of Cited Document MM-DD-YYYY Figures Appear nitials No.1 Kind Code Number US-US-US-US-USusus-US-US-US-US-US-บร-US-บร-US-US-US-US-US-

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Office ³	Foreign Patent Do Number4	ocument Kind ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
		EP	0 942 568	Α	09-15-1999	Unwired Planet, Inc.		
		EP	1 081 895	A	03-07-2001	Intel Corporation		
		EP	1 102 430	A	05-23-2001	Ericsson Telefon		
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Examiner		Date	
Signature		Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (05-03)
Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Complete if Known Substitute for form 1449B/PTO **Application Number** 10/634,881 INFORMATION DISCLOSURE Filing Date 08-06-2003 **First Named Inventor** WILLEY, William Daniel STATEMENT BY APPLICANT **Group Art Unit Examiner Name** Not Yet Assigned (use as many sheets as necessary) Attorney Docket Number heet of 14412

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μ/		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
		S. JACOBS et al., MANET Authentication Architecture, IETF Internet Draft, March 1999, retrieved from the internet: http://www.watersprings.org/pub/id/draft-jacobs-imep-auth-arch-00.txt , page 15, section 5,6.				
		VENKATRAMAN, L et al., A Novel Authentication Scheme for Ad Hoc Networks, IEEE Wireless Communications and Networking Conference, vol. 3, September 28, 2000, pages 1268-1273.				
		WIESELTHIER, J E et al., Multicasting in Energy-Limited Ad-Hoc Wireless Networks, Military Communications Conference, Proceedings, IEEE Boston, MA, USA 18-21 Oct. 1998, New York, NY, October 18, 1998, pages 723-729.				
	·	ZYGMUNT, J. HAAS et al., The Zone Routing Protocol (ZRP) for Ad Hoc Networks, IETF Internet Draft, June 1999, retrived from the internet http://www.watersprings.org/pub/id/draft-ietf-manet-zone-zrp-02.txt				

Examiner		Date	
Signature	·	Considered	
Colginature			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

ATTORNEY DOCKET NO.:

14412

USTOMER NUMBER:

000293

DEC 1 6 2003

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

re patent application of:

WILLEY, William Daniel et al.

Serial No.:

10/634,881

Group Art Unit:

2681

Filed:

08/06/2003

Examiner:

Not Yet Assigned

Title:

MOBILE CERTIFICATE DISTRIBUTION IN A PKI

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty to disclose under 37 CFR §1.56, Applicant submits herewith a Form PTO/SB/08A listing references of which the Applicant is aware and which are brought to the attention of the Examiner. In accordance with 37 CFR §1.98(a)(2), a copy of each document listed in the enclosed Form PTO/SB/08A is submitted herewith.

Pursuant to 35 USC §120, this application relies on the earlier filing date(s) of the following prior application(s):

Serial Number

Filing Date

PCT/CA02/00130

February 5, 2002

The filing of this IDS shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material for patentability,

020488-331741 McCarthy Tétrault LLP TDO-RED #8211040 v. 1 or that no other material information exists. This filing shall not be construed as an admission against interest in any matter.

This IDS is submitted pursuant to 37 CFR §1.97(b) and, accordingly, no fee is believed to be due for consideration of the documents submitted herewith.

Applicant respectfully requests consideration of the items listed and requests the Examiner to return a copy of the attached Form PTO/SB/08A after being marked as being considered by the Examiner.

Date: /2

Respectfully submitted,

Ralph A. Dowell

Registration No. 26,868 Attorney for Applicant

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